

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 30187/37578

CONTINUING APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

Box Patent Application Commissioner for Patents Washington, D.C. 20231

Sir:

This is a request under 37 CFR 1.53 for filing a

□ divisional application.

1. Particulars of Prior Application

Application Serial No:

09/407,667

Filed on:

September 28, 1999

Title:

Prion-Binding Activity in Serum and Plasma

Art Unit:

1653

Examiner:

Carlson

Prior Docket No.:

27656/36299

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Continuing Application Transmittal Under 37 CFR 1.53(b) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **July 5, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL 827656769 US.

Pichard Zimmermann

2. This request is filed by:

| 1. Full Name of | Family Name | First Given Name | Second Given Name |
|--------------------------------|---------------------|-----------------------------|--------------------------|
| Inventor | Aguzzi | Adriano | _ |
| | City | State or Foreign Country | Country of Citizenship |
| Residence & Citizenship | Zürich | Switzerland | Italy |
| | Post Office Address | City | State & Zip Code/Country |
| Post Office Address | Mühlegasse 21 | CH-8001 Zürich | Switzerland |
| 2. | Family Name | First Given Name | Second Given Name |
| Full Name of Inventor | Fischer | Michael | Boris |
| | City | State or Foreign Country | Country of Citizenship |
| Residence & Citizenship | Dübendorf | Switzerland | Switzerland |
| | Post Office Address | City | State & Zip Code/Country |
| Post Office Address | Böszelgstrasse 10 | CH-8600 Dübendorf | Switzerland |
| 3. Full Name of Inventor | Family Name | First Given Name | Second Given Name |
| Residence & Citizenship | City | State or Foreign Country | Country of Citizenship |
| | Post Office Address | City | State & Zip Code/Country |
| Post Office Address | | | |

This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

| | 3. | Amendments | | | | |
|--------|----|--|--|--|--|--|
| | | Amend the specification by inserting before the first line the sentence: | | | | |
| Å | | This is a continuation of U.S. application Serial No. 09/407,667, filed September 28, 1999 | | | | |
| | - | ☐ Cancel claims 12-36 in the prior application before calculating the filing fee. | | | | |
| | | ☑ A Preliminary Amendment is enclosed. | | | | |
| | | The filing fee is based upon entry of the foregoing amendment(s) (if any). | | | | |
| | 4. | Copy of Prior Application | | | | |
| | | The enclosed is a copy of the prior complete application, including the specification (with claims), drawings, the oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application. | | | | |
| o O | 5. | poration By Reference | | | | |
| | | The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied paragraph 4, above, is considered as being part of the disclosure of the accompanying application and is y incorporated by reference therein. | | | | |
| | 6. | Priority | | | | |
| | | Priority of application No, filed on in in is claimed under 35 USC 119. | | | | |
| | | ☐ The certified copy(ies) was(were) filed in prior U.S. application Serial No. | | | | |
| | | ☐ The certified copy(ies) has(have) not been filed. | | | | |
| 7. | 7. | Assignment | | | | |
| | | □ The prior application is assigned of record to Universität Zürich, and has been recorded at Reel No. 010504, Frame No. 0231. | | | | |
| | 8. | Small Entity Status | | | | |
| | | M Applicant claims small entity status See 37 CER 1 27 | | | | |

A small entity status is(are) attached.



- Yes
- □ No. A Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i) is attached.

10. Fee Calculation

| | | | SMALL ENTITY | | OTHER THAN A SMALL ENTITY | |
|--|-----------|-----------|--------------|----------|------------------------------|----------|
| | NO. FILED | NO. EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE | 1 | | * 4 | \$355.00 | 5. A. V.A. | \$710.00 |
| TOTAL | 11 -20 | = 0 | X 9 = | \$0.00 | X 18 = | \$ |
| INDEP. | 1 - 3 | = 0 | X 40 = | \$0.00 | X 80 = | \$ |
| ☐ First Presentation of Multiple Dependent Claim | | | + 135 = | \$0.00 | + 270 = | \$ |
| | | F | filing Fee: | \$355.00 | OR | \$ |

11. Method of Payment of Fees

| ☒ | Attached is a check in the amount of: | \$ <u>355.00</u> |
|---|---------------------------------------|------------------|
| | | |

□ Charge Deposit Account No. 13-2855 in the amount of: \$_______
A copy of this Transmittal is enclosed.

12. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.

13. C rresp ndence Addr ss

Customer No.: 04743

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6402 (312) 474-6300 (312) 474-0448 (Telefacsimile)

By:

Jeffrey S. Sharp Reg. No: 31,879

July 5, 2001